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Inner tube formulas for polytopes

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Abstract

We show that the volume of the inner r-neighborhood of a polytope in the d-dimensional Euclidean space is a pluri-phase Steiner-like function, i.e. a continuous piecewise polynomial function of degree d, proving thus a conjecture of Lapidus and Pearse. In the case when the polytope is dimension-wise equiangular we determine the coefficients of the initial polynomial as functions of the dihedral angles and the skeletal volumes of the polytope. We discuss also the degree of differentiability of this function and give a lower bound in terms of the set of normal vectors of the hyperplanes defining the polytope. We give also sufficient conditions for the highest differentiability degree to be attained.

1 Introduction

The Steiner formula is a beautiful cornerstone of convex geometry dating from 1840. It states that the volume of the *r*-parallel set of a given convex and compact set in \mathbb{R}^d can be expressed as a polynomial function of *r* of degree *d* (for $r \ge 0$) ([1] Proposition 12.3.6, [5] Formula 4.1.1). The *r*-parallel set A_r of a set $A \subset \mathbb{R}^d$ is by definition the Minkowski sum of *A* with the ball of radius *r* in \mathbb{R}^d , or, in other words, the set of points in \mathbb{R}^d with distance at most *r* to *A*. As a simple example, the following formula holds for a convex, compact set *A* in the plane, having non-empty interior:

$$\operatorname{area}(A_r) = \operatorname{area}(A) + \operatorname{length}(\partial A) r + \pi r^2 \qquad (A \subset \mathbb{R}^2).$$

The Figure 1 gives a "proof without words" for a convex polygon in the plane. In space, we have the following formula for a convex body A, with smooth boundary ∂A :

$$\operatorname{vol}(A_r) = \operatorname{vol}(A) + \operatorname{area}(\partial A) r + H(\partial A) r^2 + \frac{4}{3}\pi r^3,$$

where $H(\partial A)$ denotes the total mean curvature of (∂A) ([2] Theorem 51, [4] Theorem 10.2).

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Figure 1: The outer r-neighborhood of a convex polygon in the plane. Note that the sector-volumes add up to πr^2 .

In 1939, H. Weyl proved that volumes of tubular *r*-neighborhoods of smooth submanifolds of \mathbb{R}^d can be expressed as polynomials of *r* and gave impressive explicit formulas for the coefficients of the polynomial in terms of the curvature tensor of the submanifold. As simple but interesting examples we note two cases: For a smoothly embedded circle C in \mathbb{R}^d it holds:

$$\operatorname{vol}(C_r) = \alpha_{d-1} \operatorname{length}(C) r^{d-1},$$

where α_{d-1} denotes the volume of the (d-1)-dimensional unit ball.

For a closed orientable surface S in \mathbb{R}^d one has the following formula:

$$\operatorname{vol}(S_r) = \alpha_{d-2} \operatorname{area}(S) r^{d-2} + \frac{1}{d} \beta_{d-1} \chi(S) r^d,$$

where β_{d-1} denotes the volume of the (d-1)-dimensional unit sphere and $\chi(S)$ is the Euler characteristic of S ([1] 12.10.9.2).

Now, convex sets needn't be smooth and submanifolds needn't be convex. In 1959 Federer created the notion of "sets of positive reach" including both of these important classes of sets, the convex sets and (\mathcal{C}^2 -smooth) submanifolds in \mathbb{R}^d of any codimension. A closed set $A \subset \mathbb{R}^d$ is called of positive reach, if there exists a parallel set A_r (with r > 0) such that any point of A_r has a unique nearest point in A. The supremum of these r is called the reach of A. Federer proved that Steiner formula still holds for this larger class of sets, with r within the reach of the set ([3] Theorem 5.6).

It seems that during the long history of this problem, the inner neighborhoods of convex bodies (or of closed hypersurfaces) was not an issue of attraction. From the above formulas one sees that the area of the inner *r*-neighborhood of a convex set A with smooth boundary in \mathbb{R}^2 can be expressed (for small r) as length(∂A) $r - \pi r^2$ and the volume of the inner *r*-neighborhood of a convex body with smooth boundary in \mathbb{R}^3 as area(∂A) $r - H(\partial A) r^2 + \frac{4}{3}\pi r^3$.

If the boundary of a domain is not C^2 -differentiable or if it is not of positive reach from inside, then there are, to our knowledge, no available Steiner-like formulas. In the negative, for many simple convex domains (for example the semidisc in the plane) the volume of the inner *r*-neighborhood is definitely non-polynomial even for small enough *r*.

The interest in volumes of inner neighborhoods of domains in \mathbb{R}^d was actualized by the recent research of Lapidus and coworkers, notably by Lapidus-Pearse, who established a startling formula for volumes of neighborhoods of fractals, whereby they defined new complex dimensions for fractals, related the neighborhoods of fractals to inner neighborhoods of some associated sequences of open domains and expressed the volume of the r-neighborhood of a fractal as a sum of residues of a certain associated ζ -function at the complex dimensions of the fractal ([6] Theorem 8.1, [7] Theorem 7.4, [9] Theorem 1).

In this article we consider a simple but important type of convex bodies, the polytopes in \mathbb{R}^d . The inner neighborhoods stabilize at the inradius. We prove a conjecture of Lapidus and Pearse ([8], Conjecture 1) stating that the volume of the inner *r*-neighborhood of a (convex, compact) polytope is a continuous piecewise polynomial function for $r \geq 0$. We call such a behavior pluri-phase Steiner-like. The Figure 2 shows a quatre-phase Steiner-like example in the plane.



Figure 2: The inner *r*-neighborhood of a convex polygon P in the plane. For small r, until the passage to a quadrangle, its area is given by the formula $A(r) = \text{perimeter}(P) \cdot r - (\sum \tan(\alpha_i/2)) \cdot r^2$, where the α_i 's are the outer angles of the polygon.

We discuss also the degree of differentiability of this function and give a lower bound in terms of the set of normal vectors of the hyperplanes defining the polytope. We give also sufficient conditions for the highest differentiability degree d - 1 to be attained. There are interesting consequences resulting from these considerations about the geometric ζ -function for the polytopes in the sense of Lapidus-Pearse, but we want to restrict ourselves in this article to the convex geometry framework.

2 The volume function

Let P be a convex body in E^d , i.e. a compact convex subset with non-empty interior. For all positive r we denote by P(r) the r-interior of P:

$$P(r) = \{Q \in P | d(Q, \partial P) \ge r\}$$

and we call the set $P \setminus P(r)$ the inner r-neighborhood of ∂P . The aim of this article is the study of the inner neighborhood volume function

$$V_P(r) = \operatorname{vol}_d(P \setminus P(r))$$

or, equivalently, of the volume function

$$W_P(r) = \operatorname{vol}_d(P(r)) = \operatorname{vol}_d(P) - V_P(r).$$

We start with a straightforward example.

Example If P is a d-dimensional Euclidean ball of radius g, then

$$V_P(r) = \begin{cases} \sum_{i=0}^{d-1} \kappa_i(P) r^{d-i}, & \text{if } r \le g\\ \text{vol}_d(P), & \text{if } r \ge g \end{cases}$$

Here the coefficients are

$$\kappa_i(B) = (-1)^{d-i-1} \binom{d}{i} \frac{\operatorname{vol}_d(B)}{g^{d-i}}, \text{ for all } i.$$

The *inradius* of a convex body P is defined as the maximum positive value g such that P contains a ball of radius g. It is then clear that the volume function W_P stabilizes at g, i.e. $W_P(r) =$ 0, for all $r \ge g$.

Let d be a non-negative integer.

Definition 1 A degree d pluri-phase Steiner-like function φ is a continuous function

$$\varphi: [0, +\infty) \longrightarrow [0, +\infty)$$

such that there is a partition of the non-negative half axis into m intervals $0 = g_0 < g_1 < \cdots < g_{m-1} < +\infty$ such that

• for each $1 \leq i \leq m-1$, the restriction of φ on the subinterval $[g_{i-1}, g_i]$ is a degree d polynomial

$$\varphi(r) = \sum_{k=0}^{d} \kappa_{d-k,i} r^k$$
, for all $r \in [g_{i-1}, g_i]$

and

• φ is constant on $[g_{m-1}, +\infty)$

The *g*-value of φ is by definition g_{m-1} . In case m = 2, φ is called a *diphase Steiner-like function* and the coefficient $\kappa_{d-k,1}$ of r^k is denoted simply by κ_{d-k} .

The main result of this article is to show that whenever P is a polytope in E^d , i.e. a convex body that is the intersection of finitely many closed half-spaces in E^d , the inner neighborhood volume function V_P is a degree d pluri-phase Steiner-like function. If $\{\mathcal{H}_1, \mathcal{H}_2, \ldots, \mathcal{H}_m\}$ is a minimal collection of half-spaces in E^d defining P, the sets $F_j = P \cap \partial \mathcal{H}_j$ are called the *facets* of P. Their union equals the boundary of the polytope. We will use the following differentiation formula for the volume function:

$$W'_P(0) = -\sum_{j=1}^m \operatorname{vol}_{d-1}(F_j),$$

valid for all *d*-dimensional polytope P with facets F_1, F_2, \ldots, F_m . As each facet F_j is a (d-1)-dimensional polytope, the proof will essentially consist of an inductive argument on dimension. By definition, a 0-dimensional polytope is a point and its 0-dimensional volume is simply 1.

By contrast, even for very simple convex subsets in the plane the inner neighborhood volume function is not necessarily a Steiner-like function, as the following example shows.

Example Let $P = \{(x, y) \in R^2 | 0 \le y \le \sqrt{1 - x^2}\}$. Then the *r*-interior of *P* is

$$P(r) = \{(x, y) \in R^2 | r \le y \le \sqrt{(1 - r)^2 - x^2}\}$$

and

$$V_P(r) = \begin{cases} \frac{\pi}{2} - (1-r)^2 \arccos\left(\frac{r}{1-r}\right) + r\sqrt{1-2r}, & \text{if } r \le \frac{1}{2} \\ \\ \frac{\pi}{2}, & \text{if } r \ge \frac{1}{2} \end{cases}$$

Note that V_P is of differentiability class \mathcal{C}^1 on $[0, +\infty)$, but not \mathcal{C}^2 , as:

$$\lim_{r \nearrow \frac{1}{2}} V_P''(r) = -\infty$$

The differentiability class of the volume function reflects the metric properties of the polytope. For instance among all the rectangles, only the cubes have volume function of maximum differentiability class, as the following example proves it:

Example Let $a_1 \leq a_2 \leq \cdots \leq a_d$ and let R be the d-dimensional rectangle

$$R = R_{a_1, a_2, \dots, a_d} = \{ x = (x_1, x_2, \dots, x_d) | |x_i| \le a_i, \text{ for all } i \}$$

of inradius a_1 . Then V_R is a diphase Steiner-like function:

$$V_R(r) = \begin{cases} 2^d a_1 a_2 \dots a_d - 2^d (a_1 - r)(a_2 - r) \dots (a_d - r), & \text{if } r \le g \\ \\ 2^d a_1 a_2 \dots a_d, & \text{if } r \ge g \end{cases}$$

Thus the coefficients are

$$\kappa_{d-k} = (-1)^{k-1} \operatorname{vol}_{d-k}(R_{(d-k)}), \text{ for } 1 \le k \le d.$$

Here $R_{(d-k)}$ denotes the (d-k)-dimensional skeleton of the polytope R, i.e. the union of all the (d-k)-dimensional faces of R. In particular, $\kappa_0 = (-1)^{d-1} \sharp(R_{(0)})$. Note also the important fact that, if $m = \sharp\{1 \le i \le d | a_i = g\}$, then V_R is of differentiability class $\mathcal{C}^{(m-1)}$, but not \mathcal{C}^m . Indeed, for all r such that $0 \le r \le g$, g is a root of the polynomial $2^d(a_1 - r)(a_2 - r) \dots (a_d - r)$ of multiplicity exactly m.

The following theorem characterizes the polytopes in E^d for which the function V_P is a diphase Steiner-like function of maximal differentiability class, i.e. of class $\mathcal{C}^{(d-1)}$.

Theorem 1 A necessary and sufficient condition for the inner neighborhood volume function V_P of a d-dimensional polytope P to be a diphase Steiner-like function of class $C^{(d-1)}$ on $[0, +\infty)$ is that P admits an inscribed Euclidean d-dimensional ball. Moreover, in this case the coefficients $\kappa_i(P)$ of P satisfy:

$$\kappa_i(P) = (-1)^{d-i-1} \binom{d}{i} \frac{\operatorname{vol}_d(P)}{g^{d-i}},$$

for all $i = 0, 1, \dots, d - 1$.

Proof We first prove the sufficiency of the condition. Assume that the origin O is the center of a *d*-dimensional ball of radius g inscribed in P, i.e. tangent to all the facets of P. For each r in [0,g] let $\tilde{P}(r) = (1 - \frac{r}{g})P$. Note that, due to the assumption above, the polytopes $\tilde{P}(r)$ and P(r) coincide, for all r. Thus for each r in [0,g]:

$$V_P(r) = \operatorname{vol}_d(P \setminus \tilde{P}(r)) = \operatorname{vol}_d(P) \left(1 - \left(1 - \frac{r}{g}\right)^d \right) = \operatorname{vol}_d(P) \sum_{i=0}^{d-1} (-1)^{d-i-1} {d \choose i} \left(\frac{r}{g}\right)^{d-i}$$

and for r > g, $V_P(r) = \operatorname{vol}_d(P)$.

In order to prove the necessity, note that for any d-dimensional polytope P, the inradius g satisfies

$$g = \min\{r > 0 | \operatorname{vol}_d(P(r)) = 0\} = \min\{r > 0 | V_P(r) = \operatorname{vol}_d(P)\}.$$

Assume now that V_P is diphase Steiner-like function. Then its g-value equals the inradius of P. Writing down the continuity conditions at r = g for the function

$$V_P(r) = \begin{cases} \sum_{i=0}^{d-1} \kappa_i(P) r^{d-i} & \text{if } r < g\\ \\ \text{vol}_d(P) & \text{if } r \ge g \end{cases}$$

and for its first d-1 derivatives we obtain the triangular homogeneous system of linear equations in the coefficients κ_i :

$$\sum_{i=0}^{d-j} {d-i \choose j} \kappa_i(P) g^{d-i-j} = 0, \text{ for all } j = 0, \dots, d-1$$

whose only family of solutions is the one stated in the theorem. Here, for simplicity we have denoted $\operatorname{vol}_d(P)$ by $-\kappa_d(P)$.

Consider that the ball of radius g centered at the origin is contained in P. Then $(1 - \frac{r}{g})P \subset P(r)$. Since

$$V_P(r) = \sum_{i=0}^{d-1} \kappa_i(P) r^{d-i}$$

= $\operatorname{vol}_d(P) \sum_{i=0}^{d-1} (-1)^{d-i-1} {d \choose i} \left(\frac{r}{g}\right)^{d-i}$
= $\operatorname{vol}_d(P) \left(1 - \left(1 - \frac{r}{g}\right)^d\right) = \operatorname{vol}_d\left(P \setminus \left(1 - \frac{r}{g}\right)P\right),$

we conclude that $P \setminus P(r) = P \setminus (1 - \frac{r}{g})P$, for all r < g. Thus the distance of the origin to all the facets is exactly g, and the ball of radius g considered is inscribed in P. qed.

3 The equiangular polytope case

Considering that the volume function of a convex body is a polynomial for at least a small interval $[0, \varepsilon]$, one is interested in the geometric information carried by the coefficients of this polynomial. This section answers this question explicitly for the special case of dimension-wise equiangular polytopes.

Definition 2 A polytope P is equiangular if all the dihedral angles between pairs of adjacent facets of P are equal. For an equiangular d-dimensional polytope denote by α_d its outer dihedral angle value, i.e. for any two different facets F_i and F_j , meeting along a common ridge, and having inner unit normal vectors N_i and N_j , $\langle N_i, N_j \rangle = \cos \alpha_d$ holds.

Definition 3 A polytope P is dimension-wise equiangular if there exists constants $\alpha_2, \alpha_3, \ldots, \alpha_d \in (0, \pi)$ such that any k-dimensional face F of P is an equiangular polytope with outer dihedral angle α_k , for all k such that $2 \leq k \leq d$.

As a consequence of the definitions above, all 1-dimensional polytopes are dimension-wise equiangular.

Example Following [1] (Definition 12.5.1), a *flag* of a polytope P is a d-tuple $(F_0, F_1, \ldots, F_{d-1})$, consisting of *i*-faces of P and $F_i \subset F_{i+1}$ for all *i* such that $0 \leq i \leq d-2$. A polytope P is called *regular* if the symmetry group of P, i.e. the group of all Euclidean isometries mapping P onto itself, acts transitively on the flags of P. As it is clear that a regular polytope P in E^d is equiangular and as every k-face of P is regular, we conclude that every regular polytope is dimension-wise equiangular. Moreover, any of its k-faces has same inradius $\gamma_k g$, where g is the inradius of P, γ_d is obviously 1, and

$$\gamma_k = \tan\left(\frac{\alpha_{k+1}}{2}\right) \tan\left(\frac{\alpha_{k+2}}{2}\right) \dots \tan\left(\frac{\alpha_d}{2}\right)$$
, for all k such that $1 \le k \le d-1$.

The expressions γ_k , defined as functions of the outer dihedral angles, have a geometric meaning even in the case of a non-regular but dimension-wise equiangular polytope, as we will see in the theorem 2.

Definition 4 Let P be a d-dimensional polytope with facets F_1, F_2, \ldots, F_m . For $0 \le k \le d$, we define inductively:

$$\Omega_k(P) = \begin{cases} \operatorname{vol}_d(P), & \text{if } k = d \\ \\ \sum_{i=1}^m \Omega_k(F_i), & \text{otherwise} \end{cases}$$

Let F'_1, F'_2, \ldots, F'_n be all the k-faces of P, and suppose that, for all i, the polytope P admits exactly μ_i flags on F'_i , i.e. (d-k)-tuples of *i*-faces $(F''_k, F''_{k+1}, \ldots, F''_{d-1})$, with $F''_k = F'_k$ and $F''_i \subset F''_{i+1}$, for all i such that $k \leq i \leq d-2$. By a straightforward induction on d, one can show that

$$\Omega_k(P) = \sum_{i=1}^n \mu_i \operatorname{vol}_k(F'_i).$$

Theorem 2 Let P be a dimension-wise equiangular polytope in E^d , with outer dihedral angles $\alpha_2, \ldots, \alpha_d$. Then there exists a positive ε such that, on the interval $[0, \varepsilon]$, the volume function $W_P(r) = \operatorname{vol}_d(P(r))$ is a polynomial function and

$$W_P(r) = \sum_{k=0}^{d} (-1)^{d-k} \Omega_k(P) \gamma_{k+1} \gamma_{k+2} \dots \gamma_d \frac{r^{d-k}}{(d-k)!},$$

for all r such that $0 \leq r \leq \varepsilon$, where γ_k are given by the formulas above.

Proof By induction on the dimension d. Denote by g the inradius of P. For d = 1, take $\varepsilon = \frac{g}{2}$.

Assume the theorem has been proved for d-1. Denote by F_1, F_2, \ldots, F_m the facets of a dimensionwise equiangular polytope P in E^d . By the induction hypothesis, there exists an ε' such that each volume function W_{F_i} is a degree d-1 polynomial function on $[0, \varepsilon']$ and satisfies

$$W_{F_i}(r) = \sum_{k=0}^{d-1} (-1)^{d-k-1} \Omega_k(F_i) \gamma'_{k+1} \gamma'_{k+2} \dots \gamma'_{d-1} \frac{r^{d-k-1}}{(d-k-1)!}$$

for all r such that $0 \leq r \leq \varepsilon'$. Here

$$\gamma'_l = \tan\left(\frac{\alpha_{l+1}}{2}\right) \tan\left(\frac{\alpha_{l+2}}{2}\right) \dots \tan\left(\frac{\alpha_{d-1}}{2}\right)$$
, for all l such that $1 \le l \le d-1$.

Take

$$\varepsilon = \min\left\{g, \frac{\varepsilon'}{\tan\left(\frac{\alpha_d}{2}\right)}\right\}.$$

For all r in the interval $[0, \varepsilon]$, P(r) is a dimension-wise equiangular polytope with facets $F_1(\tan\left(\frac{\alpha_d}{2}\right)r)$, $F_2(\tan\left(\frac{\alpha_d}{2}\right)r), \ldots, F_m(\tan\left(\frac{\alpha_d}{2}\right)r)$. Applying the differentiation formula to the volume function for the polytope P(r), we get:

$$W'_{P}(r) = -\sum_{i=1}^{m} W_{F_{i}} \left(\tan \left(\frac{\alpha_{d}}{2} \right) r \right)$$

$$= \sum_{i=1}^{m} \sum_{k=0}^{d-1} (-1)^{d-k} \Omega_{k}(F_{i}) \gamma'_{k+1} \gamma'_{k+2} \dots \gamma'_{d-1} \left(\tan \left(\frac{\alpha_{d}}{2} \right) \right)^{d-k-1} \frac{r^{d-k-1}}{(d-k-1)!}$$

$$= \sum_{k=0}^{d-1} \sum_{i=1}^{m} (-1)^{d-k} \Omega_{k}(F_{i}) \gamma_{k+1} \gamma_{k+2} \dots \gamma_{d} \frac{r^{d-k-1}}{(d-k-1)!}$$

$$= \sum_{k=0}^{d-1} (-1)^{d-k} \Omega_{k}(P) \gamma_{k+1} \gamma_{k+2} \dots \gamma_{d} \frac{r^{d-k-1}}{(d-k-1)!}.$$

The theorem follows, since $W_P(0) = \operatorname{vol}_d(P) = \Omega_d(P)$. qed

As a consequence of Theorems 2 and 1 and of the equality stated after the definition 4, we get the following corollary, where $P_{(k)}$ denotes the k-dimensional skeleton of P, i.e. the union of all its k-dimensional faces.

Corollary 3 Let P be a dimension-wise equiangular polytope in E^d such that for every $k \ge 1$, the number of k-faces of P meeting at any (k-1)-face of P is exactly $\mu_{(k)}$. Then there exists a positive ε such that, on the interval $[0, \varepsilon]$, the volume function $W_P(r) = \operatorname{vol}_d(P(r))$ is a polynomial function and

$$W_P(r) = \sum_{k=0}^d (-1)^{d-k} \mu_{(k+1)} \mu_{(k+2)} \dots \mu_{(d)} \operatorname{vol}_k(P_{(k)}) \gamma_{k+1} \gamma_{k+2} \dots \gamma_d \frac{r^{d-k}}{(d-k)!},$$

for all r such that $0 \le r \le \varepsilon$. In particular, if P is a d-dimensional regular polytope, for all k such that $0 \le k \le d-1$,

$$d! \operatorname{vol}_d(P_{(d)}) = k! \mu_{(k+1)} \mu_{(k+2)} \dots \mu_{(d)} \operatorname{vol}_k(P_{(k)}) \gamma_{k+1} \gamma_{k+2} \dots \gamma_d g^{d-k}.$$

Example Consider a regular dodecahedron of unit edge length. Cut it along two planes, both parallel to a couple of opposite sides, each one being at distance $\delta = \sqrt{1 - \frac{2}{\sqrt{5}}}$ from the corresponding side. We obtain a dodecahedron D with all outer dihedral angles equal to arctan 2, and whose two sides are regular pentagons of side $\frac{2}{\varphi}$, and remaining 10 sides are equiangular pentagons of edges 1, 1, $\frac{1}{\varphi}$, $\frac{1}{\varphi}$ and $\frac{2}{\varphi}$. Here $\varphi = \frac{\sqrt{5}+1}{2}$ is the golden ratio. Thus the area of each of the small sides is $\frac{\sqrt{15-5\varphi}}{2}$ and of each of the 2 larger sides is $\sqrt{15-5\varphi}$. The constants are

$$\gamma_3 = 1$$

$$\gamma_2 = \varphi - 1$$

$$\gamma_1 = \sqrt{18 - 11\varphi}.$$

We obtain

$$W_D(r) = \sum_{k=0}^3 (-1)^{3-k} \mu_{(k+1)} \mu_{(k+2)} \dots \mu_{(d)} \operatorname{vol}_k(P_{(k)}) \gamma_{k+1} \gamma_{k+2} \dots \gamma_3 \frac{r^{3-k}}{(3-k)!}$$

$$= -20\sqrt{47 - 29\varphi}r^3 + (50 - 20\varphi)r^2 - 7\sqrt{15 - 5\varphi}r + \mathrm{vol}_3(D),$$

for all r such that $0 \leq r \leq \varepsilon$.



Figure 3: A non-regular but dimension-wise equiangular dodecahedron

4 The main result

In this section we prove that the volume function of any polytope is a pluri-phase Steiner-like function. For that we introduce the concept of a gliding arrangement of hyperplanes in a Euclidean space. The hyperplanes supporting the facets of the "shrinking" polytope P(r), as r increases, constitute the gliding arrangement of hyperplanes adapted to the polytope P. This concept will allow an inductive argument on the dimension of the polytope.

By a hyperplane in E^d we will understand an oriented hyperplane H, where the orientation is given by a choice of a unit normal vector N.

Definition 5 A *d*-arrangement of hyperplanes is a finite family $\mathcal{A} = \{H_1, H_2, \ldots, H_m\}$ of (not necessarily distinct) hyperplanes in a *d*-dimensional real affine Euclidean space E^d .

Definition 6 The signed distance $d(Q, H_0)$ from a point Q in E^d to the oriented hyperplane H_0 is by definition $d(Q, H_0) = \langle \overline{Q_0 Q}, N_0 \rangle$, where Q_0 is any point in the hyperplane H_0 . The cells C_{ε} of the arrangement \mathcal{A} are indexed by $\varepsilon = (\varepsilon_1, \varepsilon_2, \ldots, \varepsilon_m) \in \{\pm 1\}^m$, and defined as the set

$$C_{\varepsilon} = \{ Q \in E^d | \varepsilon_j \mathrm{d}(Q, H_j) \ge 0, \text{ for all } j \}.$$

By convention, we consider cells with different multi-indices as different, even when empty. So a d-arrangement of cardinality m, defines exactly 2^m cells in E^d .

Definition 7 We say that the family of vectors $\mathcal{N} = \{N_1, N_2, \ldots, N_m\}$ in \mathbb{R}^d has absolute rank k if: (i) any k elements of \mathcal{N} are linearly independent, and (ii) there are k + 1 vectors in the family that are linearly dependent. The rank (respectively the absolute rank) of a d-arrangements \mathcal{A} is defined to be the rank (respectively, the absolute rank) of the family of the normal vectors \mathcal{N} of its elements.

The chain of inequalities holds: $1 \leq k \leq \operatorname{rk} \mathcal{N} \leq \min\{m, d\}$, for all non-empty families \mathcal{N} . By convention, we consider the absolute rank of an empty family to be null.

Definition 8 Let H_0 be an oriented hyperplane in E^d , with $d \ge 2$. The *trace* of the *d*-arrangement \mathcal{A} on H_0 is the family $\tilde{\mathcal{A}}_0$ of all the proper intersections of H_0 with each of the hyperplanes in \mathcal{A} , each intersection being endowed with the normal vector $\tilde{N}_j = \frac{pr_0(N_j)}{|pr_0(N_j)|}$, where $pr_0(v) = v - \langle v, N_0 \rangle N_0$ is the projection on the linear hyperplane subtending H_0 , i.e.

$$\tilde{\mathcal{A}}_0 = \{ (H_j \cap H_0, \frac{pr_0(N_j)}{|pr_0(N_j)|}) | 1 \le j \le m \text{ such that } H_j \cap H_0 \text{ is a proper subspace in } H_0 \}.$$

Note that, for all j such that $1 \leq j \leq m$, the trace $\tilde{\mathcal{A}}_j$ on the hyperplane H_j is a (possibly empty) (d-1)-arrangement of absolute rank at least k-1 and of rank at least $rk\mathcal{A} - 1$. In particular, if $rk\mathcal{A} \geq 2$, then all the traces $\tilde{\mathcal{A}}_j$ of \mathcal{A} on its own hyperplanes are non-empty.

Consider now two hyperplanes H_a and H_b . Note that, for all j such that $1 \leq j \leq m$, if the intersection $H_j \cap H_a \cap H_b$ is a proper affine subspace in $H_a \cap H_b$, then the projection $pr_{ab}(N_j)$ of N_j on the linear subspace subtending $H_a \cap H_b$ cannot vanish. Moreover the trace of the arrangement $\tilde{\mathcal{A}}_a$ on $H_a \cap H_b$ (as a hyperplane in H_a) equals

$$\{(H_j \cap H_a \cap H_b, \frac{pr_{ab}(N_j)}{|pr_{ab}(N_j)|}) | 1 \le j \le m \text{ such that } H_j \cap H_a \cap H_b \text{ is a proper subspace in } H_a \cap H_b\}$$

so it coincides with the trace of \mathcal{A}_b on the same $H_a \cap H_b$ (as a hyperplane in H_b). We say thus that the iterated trace of an arrangement \mathcal{A} on several hyperplanes does not depend on the particular order in which these traces are taken.

Definition 9 A gliding d-arrangement of hyperplanes is a family

$$\mathcal{A} = \{ (H_1, v_1), (H_2, v_2), \dots, (H_m, v_m) \}$$

where each hyperplane H_j is endowed with a normal velocity vector v_j . A pair (H, v) as above will be called a *gliding hyperplane*.

Example Let P be a d-dimensional polytope in E^d . Consider the gliding d-arrangement \mathcal{A}_P consisting of all the hyperplanes supporting the facets of P with the orientation given by the inner normal vectors N_j and with velocity vectors $v_j = N_j$ for all j. We call \mathcal{A}_P the gliding arrangement adapted to P.

A gliding arrangement \mathcal{A} determines at each moment of time t a d-arrangement

$$\mathcal{A}(t) = \{H_1(t), H_2(t), \dots, H_m(t)\},\$$

where $H_j(t)$ is the image of $H_j(0) = H_j$ under the translation by vector tv_j , i.e.:

$$H_j(t) = \{ Q \in E^d | \mathrm{d}(Q, H_j) - t \langle v_j, N_j \rangle = 0 \}$$

Note that the rank and the absolute rank of $\mathcal{A}(t)$ are constant. For a multi-index $\varepsilon \in \{\pm 1\}^m$ denote the cell C_{ε} by C(t) to indicate its dependence on the time parameter. Precisely:

$$C(t) = \{ Q \in E^d | \varepsilon_d(Q, H_j(t)) \ge 0, \text{ for all } j = 1, \dots, m \}$$

Note that if $C(t_0)$ is bounded for some t_0 then it is bounded for all real t. Let

$$W(t) = W_{\varepsilon}(t) = \operatorname{vol}_d(C(t)).$$

be its d-dimensional volume function.

Definition 10 A gliding *d*-arrangement \mathcal{A} is of first type if it admits a bounded cell $C_{\varepsilon}(t_0)$ with non-zero *d*-dimensional volume, for some multi-index ε and a real t_0 .

Example The gliding arrangement adapted to a polytope is of first type by definition.

Lemma 1 Let \mathcal{A} be a gliding arrangement of hyperplanes in E^d of first type. Let C(t) be a bounded cell of \mathcal{A} of volume function W. Then W is continuous and supp W is an interval. For all $t \notin \text{supp } W$, C(t) is empty.

Proof The continuity of W follows simply by induction on the dimension d and we will skip its proof. If $Q_i \in C(t_i)$ for i = 0, 1, then for all $\lambda \in [0, 1]$, the point $(1 - \lambda)Q_0 + \lambda Q_1 \in C((1 - \lambda)t_0 + \lambda t_1)$. Thus if $t_0 \neq t_1$ and if $\operatorname{vol}_d(C_{\varepsilon}(t_1)) > 0$, then $\operatorname{vol}_d(C((1 - \lambda)t_0 + \lambda t_1)) \geq \lambda^d \operatorname{vol}_d(C(t_1)) > 0$. Thus if $t_0 < t_1$ are in supp W, then the whole interval $[t_0, t_1]$ is contained in supp W. By the same argument as above, if $t_1 \in \operatorname{supp} W$, and $t_0 \notin \operatorname{supp} W$, but if $C(t_0)$ is non-empty then t_0 is in the closure of supp W, which is absurd. Thus C(t) is empty for all $t \notin \operatorname{supp} W$. ged.

Assume (H_i, v_i) and (H_0, v_0) are gliding hyperplanes in E^d in general position.

Definition 11 We define the trace of the gliding hyperplane H_j on H_0 to be the hyperplane $H_j \cap H_0$ endowed with the normal vector $\tilde{N}_j = \frac{pr_0(N_j)}{|pr_0(N_j)|}$ and with the velocity vector

$$\tilde{v}_j = \langle v_j - v_0, N_j \rangle \frac{pr_0(N_j)}{|pr_0(N_j)|^2}$$

Note that in the orthogonal complement of $H_0 \cap H_j$, $\{N_0, N_j\}$ and $\{\frac{pr_j(N_0)}{|pr_j(N_0)|^2}, \frac{pr_0(N_j)}{|pr_0(N_j)|^2}\}$ are dual bases. The vector \tilde{v}_j is exactly the $\frac{pr_0(N_j)}{|pr_0(N_j)|^2}$ -component of the vector $v_j - v_0$.

Let $\mathcal{A} = \{(H_1, v_1), (H_2, v_2), \dots, (H_m, v_m)\}$ a gliding *d*-arrangement of hyperplanes and (H_0, v_0) be a gliding hyperplane in E^d , with $d \geq 2$.

Definition 12 We define the trace of the gliding d-arrangement \mathcal{A} in H_0 to be the family $\hat{\mathcal{A}}_0$ of all the traces of the gliding hyperplanes (H_j, v_j) on (H_0, v_0) , where we choose only those hyperplanes (H_j, v_j) such that H_j and H_0 are in general position.

Let \mathcal{A}_P be the gliding arrangement adapted to some *d*-dimensional polytope P in E^d . Note that, if P is a *d*-dimensional rectangle, the traces of \mathcal{A}_P on the hyperplanes H_j containing the facets of P are adapted to the respective facet $P \cap H_j$. Nevertheless, for a general polytope P this is not necessarily true.

Lemma 2 Let H_0 be a gliding hyperplane and \mathcal{A} a gliding arrangement of hyperplane in E^d , with $d \geq 2$, and denote by $\tilde{\mathcal{A}}_0$ the trace of \mathcal{A} on H_0 . Then the arrangement $\tilde{\mathcal{A}}_0(t)$ is the trace of $\mathcal{A}(t)$ on $H_0(t)$, for all t.

In particular, we conclude that the iterated trace of a gliding arrangement \mathcal{A} on several gliding hyperplanes does not depend on the particular order in which these traces are taken.

Proof We can assume that \mathcal{A} consists of a single gliding hyperplane (H, v) and that the normal vectors N and N_0 are linearly independent. We can identify E^d with the Euclidean real coordinate space \mathbb{R}^d in such a way that $N_0 = \mathbf{e}_1$, $N = \frac{1}{\sqrt{b^2 + c^2}}(b\mathbf{e}_1 + c\mathbf{e}_2)$, with $c \neq 0$, $H_0(0) = \{x_1 = 0\}$ and $H(0) = \{bx_1 + cx_2 = 0\}$.

Then $v_0 = a\mathbf{e}_1$ and $v = \omega(b\mathbf{e}_1 + c\mathbf{e}_2)$, for some real ω , thus $H_0(t) = \{x_1 = at\}$ and $H(t) = \{bx_1 + cx_2 = \omega(b^2 + c^2)t\}$ intersect at

$$\{(at, \frac{\omega(b^2+c^2)-ab}{c}t, x_2, \dots, x_d)|t \in \mathbb{R}\}.$$

Thus the trace $(H, v)_0$ of the gliding hyperplane (H, v) on H_0 has velocity vector $\frac{\omega(b^2+c^2)-ab}{c}\mathbf{e}_2 = \langle v - v_0, N \rangle \frac{pr_0(N)}{|pr_0(N)|^2}$. The Lemma is thus proven.

Theorem 4 Let \mathcal{A} be a gliding d-arrangement of hyperplanes in E^d of first type and of absolute rank k and let C be a bounded cell, such that for some value t_0 , $C(t_0)$ has non-zero d-volume. Then the function $W(t) = \operatorname{vol}_d(C(t))$ is a pluriphase degree d Steiner-like function of class \mathcal{C}^{k-1} on \mathbb{R} .

Proof By induction on the dimension d.

The theorem is clear if d is 1. Note that in this case W(t) is of class C^1 only if the cell is non-empty for all t.

Assume the theorem has been proven for (d-1)-arrangements. The cell $C = C_{\varepsilon}$ of the *d*-arrangement \mathcal{A} determines in the trace $\tilde{\mathcal{A}}_j$ a unique cell C_j for each j and, by the Lemma above, $C_j(t)$ is the *j*th facet of C(t), for all t in supp W. Since C is a bounded cell, the same is true for C_j . In particular we conclude that $\tilde{\mathcal{A}}_j$ is a non-empty (d-1)-arrangement for all j, as the empty arrangement has only one cell, which is unbounded. Note, however, the important fact that if $\operatorname{vol}_{d-1}C_j(t_1) \neq 0$ for at least one value t_1 for which $\operatorname{vol}_d(C(t_1)) = 0$, then \mathcal{A} must contain at least one hyperplane H_l , with $l \neq j$ such that the normal vectors N_l and N_j are linearly dependent. We conclude that \mathcal{A} has absolute rank 1 in this case.

Denote the (d-1)-dimensional volume function of $C_j(t)$ by $W_j(t)$, for each j. Note also that the inner unit normal vector of the cell C(t) along its facet $C_j(t)$ is $\varepsilon_j N_j$. By taking the one-sided derivatives with respect to t, we get

$$W'(t\pm) = -\chi(t\pm) \sum_{j=1}^{m} \langle v_j, \varepsilon_j N_j \rangle W_j(t\pm), \text{ for all } t$$

where χ is the characteristic function of the interval supp W.

Since for each j, the trace $\tilde{\mathcal{A}}_j$ is a non-empty (d-1)-arrangement of absolute rank at least k-1, we conclude that the function W is a pluriphase degree d Steiner-like function of class \mathcal{C}^{k-1} on $\mathbb{R}\setminus\partial(\operatorname{supp} W(t))$.

If the absolute rank of \mathcal{A} is 1, the conclusion of the theorem is proven. Otherwise, the absolute rank of \mathcal{A} is greater than 1, and by the argument above, the volume function W_j is null outside the interval supp W, for all facet j. The theorem follows. qed

Corollary 5 Let P be a d-dimensional polytope in \mathbb{R}^d and let $\mathcal{N} = \{N_1, N_2, ..., N_m\}$ be the inner normal vectors of the facets of P. If the family of normal vectors \mathcal{N} has absolute rank k, then the inner neighborhood volume function $V_P(r)$ is a pluriphase degree d Steiner-like function of class \mathcal{C}^{k-1} on $[0, +\infty)$.

Proof Consider the gliding *d*-arrangement \mathcal{A}_P adapted to *P*. The polytope *P* is the cell $C = C_{(+1,+1,\dots,+1)}$. We first show that for non-negative *t*, $C(t) = C_{(+1,+1,\dots,+1)}(t)$ coincides with the *t*-interior of *P*, i.e.:

$$P(t) = \{Q \in P | d(Q, \partial P) \ge t\}$$

Indeed, if $Q \in C(t)$ then for all j, $d(Q, H_j(t)) \ge 0$. So $d(Q, P \cap H_j) \ge d(Q, H_j) \ge t$, for all facet $P \cap H_j$ of P. Conversely, if $Q \in P$ is a point satisfying $d(Q, \partial P) \ge t$ then $d(Q, \mathbb{R}^d \setminus P) \ge t$. Since any hyperplane $H_j(0)$ supporting a facet of P is contained in the complement $\mathbb{R}^d \setminus P$, we conclude that $d(Q, H_j(0)) \ge t$ for all j. Thus $Q \in C(t)$. The proof follows now by directly applying the theorem to the gliding d-arrangement \mathcal{A}_P and to the cell P and the relation $W(r) = \operatorname{vol}_d(P) - V_P(r)$, valid for all positive r. qed.

Moreover, the theorem below proves that, for each $0 \le k \le d$, there exists a polytope P such that the absolute rank of \mathcal{N} is k but $V_P(r)$ is not of class \mathcal{C}^k on $[0, +\infty)$. So, in this sense, the bound for the differentiability class given in the Corollary 5 is the best possible result.

Theorem 6 Let $1 \leq k \leq s \leq d$. Then there exists a polytope in \mathbb{R}^d whose family \mathcal{N} of inner normal vectors of facets has absolute rank k and whose inner neighborhood volume function V_P is of differentiability class $\mathcal{C}^{(s-1)}$, but not \mathcal{C}^s .

Proof Consider the following construction, starting with be a polytope P in \mathbb{R}^d having m facets, having inradius g and absolute rank k of the family \mathcal{N} of normal vectors. We define the roof of P to be the polytope $\Gamma(P)$ in \mathbb{R}^{d+1} given by

$$\Gamma(P) = \{ x' = (x, x_{d+1}) | 0 \le x_{d+1} \le g, x \in P(x_{d+1}) \}.$$

If, for all non-negative r, we canonically identify \mathbb{R}^d with $\{(x, r)|x \in \mathbb{R}^d\}$, the r-interior of P is congruent with the vertical slice $\{x_{d+1} = r\}$ in $\Gamma(P)$, so $\Gamma(P)$ is the graph of the shrinking polytope P_r , as r varies in $[0, +\infty)$ (see Figure 4).



Figure 4: The roof of the polygon *ABCDE* shown in Figure 2

Note that $\Gamma(P)$ is a polytope with m + 1 facets and of absolute rank k + 1. We identify P with the facet $P \times \{0\}$ of $\Gamma(P)$, whose inner unit normal vector is the basis vector \mathbf{e}_{d+1} . Note that the outer dihedral angle between P and any other facet of $\Gamma(P)$ equals $\frac{3\pi}{4}$. We conclude that, for all r, the translation of vector $r\mathbf{e}_{d+1}$ in \mathbb{R}^{d+1} maps $\Gamma\left(P((1+\sqrt{2})r)\right)$ onto $\Gamma(P)(r)$, thus the inradius of $\Gamma(P)$ is $\frac{g}{1+\sqrt{2}}$. As the volume of $\Gamma(P)$ is given by

$$\operatorname{vol}_{d+1}(\Gamma(P)) = \int_0^\infty \operatorname{vol}_d(P(\rho)) \, d\rho$$

we obtain that

$$\operatorname{vol}_{d+1}\left(\Gamma(P)(r)\right) = \operatorname{vol}_{d+1}\left(\Gamma\left(P((1+\sqrt{2})r)\right)\right)$$
$$= \int_0^\infty \operatorname{vol}_d\left(P((1+\sqrt{2})r+\rho)\right) d\rho$$
$$= \int_{(1+\sqrt{2})r}^\infty \operatorname{vol}_d(P(\rho)) d\rho$$

or, equivalently:

$$W'_{\Gamma(P)}(r) = -(1+\sqrt{2})W_P((1+\sqrt{2})r)$$
, for all $r \in [0,+\infty)$.

Coming back to the proof of the theorem, let d' = d - k + 1 and m = s - k + 1 and consider a sequence $0 < a_1 = a_2 = \cdots = a_m < a_{m+1} \leq \ldots a_{d'}$. Let R be the rectangle $R_{a_1,a_2,\ldots,a_{d'}}$ in $\mathbb{R}^{d'}$ as in the example considered in the first section and let $P = \Gamma^{k-1}(R) = \Gamma(\Gamma(\ldots(R)\ldots))$. Then P is a polytope in \mathbb{R}^d of absolute rank k and its volume function W_P is of class $\mathcal{C}^{(s-1)}$, but not \mathcal{C}^s . qed.

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